

MAY 02 2006

RCE  
JAW



PATENT APPLICATION

Serial No. 10/691,473

Docket No.: 11072.6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: )  
 )  
 Jason A. Sullivan )  
 )  
 Serial No.: 10/691,473 ) Art Unit  
 ) 2835  
 Filed: October 22, 2003 )  
 )  
 For: NON-PERIPHERALS PROCESSING CONTROL )  
 )  
 )  
 )  
 )  
 )  
 Examiner: Hung V. Duong )

INFORMATION DISCLOSURE STATEMENT AND REQUEST FOR  
CONTINUED EXAMINATION

MAIL STOP: RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant hereby respectfully requests continued examination of the above-referenced patent application, and provides a copy of Information Disclosure Statement under C.F.R. § 1.97, which was originally faxed on March 9, 2006, for this application as a submission and the payment of a fee pursuant to 37 C.F.R. §1.114.

05/03/2006 CNGUYEN 00000090 10691473

01 FC:2801

395.00 OP

Enclosed is a check in the amount of \$395.00 for the fee associated with this request for continued examination. Applicant understands that no additional fees are required. However, if the Office determines that any comparison fees or other additional fees are required, the Commissioner is authorized to charge any such fees to KIRTON & McCONKIE, Deposit Account No. 500843.

DATED this 1<sup>st</sup> day of May, 2006.

Respectfully submitted,



Berne S. Broadbent  
Attorney for Applicant  
Registration No. 30,550

KIRTON & McCONKIE  
1800 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
Telephone: (801) 328-3600  
Facsimile: (801) 321-4893

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on this 1<sup>st</sup> day of May, 2006.



Berne S. Broadbent  
Attorney for Applicant  
Registration No. 30,550



COPY

PATENT APPLICATION  
Docket: 11072.6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of )  
)  
Jason A. Sullivan )  
)  
Serial No.: 10/691,473 )  
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Filed: October 22, 2003 )  
)  
For: NON-PERIPHERALS PROCESSING CONTROL )  
MODULE HAVING IMPROVED HEAT DISSIPATING )  
PROPERTIES )

CERTIFICATE OF FACSIMILE TRANSMISSION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that these papers are being facsimile transmitted to the Patent and Trademark  
Office on the date shown below.

Date: March 9<sup>th</sup>, 2006

Respectfully submitted,

David B. Tingey  
Attorney for Applicant  
Registration No. 52,289

KIRTON & McCONKIE  
1800 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
Telephone: (801) 323-5986  
Facsimile: (801) 321-4893

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Transmitted: PTO Form 1449 & Transmittal of IDS



PATENT  
Docket No.: 11072.6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of )  
Jason A. Sullivan )  
Serial No.: 10/691,473 ) Art Unit 2835  
Filed: October 22, 2003 )  
For: NON-PERIPHERALS PROCESSING )  
CONTROL MODULE HAVING )  
IMPROVED HEAT DISSIPATING )  
PROPERTIES )  
Examiner: Hung V. Duong )

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

As explained by the undersigned in a telephone conversation with the Examiner, it was recently discovered that the information listed in the accompanying Information Disclosure Citation has not previously been submitted to the Examiner for consideration. Accordingly, this Information Disclosure Statement discloses information that has come to the attention of Applicant and/or his attorneys and is being submitted so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56(a) of the Federal Regulations.

The Examiner indicated his willingness to consider this Information Disclosure Statement without the need of Applicant filing a request for continued examination. However, if it is determined that a request for continued examination is required, Applicant hereby respectfully requests continued examination of the above-referenced patent application, provides this Information Disclosure Statement as a submission, and requests

that Deposit Account No. 500843 be charged the corresponding fee pursuant to 37 C.F.R. §1.114.

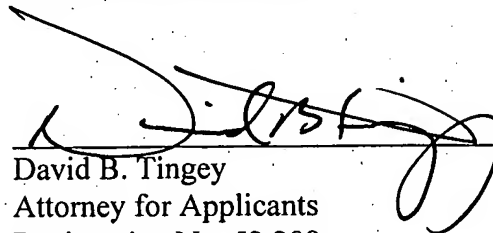
Neither Applicant nor his attorneys make any representation that any information disclosed herein may be "prior art" within the meaning of that term under 35 U.S.C. §§102 or 103. Moreover, pursuant to 37 C.F.R. §1.97, the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.98, this Information Disclosure Statement includes and is accompanied by a completed copy of Form PTO-1449 listing the patents, publications and other information being submitted for consideration.

The Commissioner is hereby authorized to charge any fee required in connection with this submission, or to credit overpayment, to Deposit Account No. 500843.

DATED this 9<sup>th</sup> day of March, 2006.

Respectfully submitted,



David B. Tingey  
Attorney for Applicants  
Registration No. 52,289

KIRTON & McCONKIE  
1800 Eagle Gate Tower  
60 East South Temple Street  
P.O. Box 45120  
Salt Lake City, Utah 84145-0120  
Telephone: (801) 328-3600

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

MAY 01 2006



ATTY DOCKET NO.

11072.6

APPLICATION NO.

10/691,473

APPLICANT(S)

Jason A. Sullivan

FILING DATE

October 22, 2003

GROUP ART UNIT

2835

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE       | NAME                 | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----------------|------------|----------------------|-------|----------|-------------------------------|
|                      | 4,232,356       | 11/04/1980 | Saunders et al.      | 361   | 415      | 02/07/1979                    |
|                      | 5,602,721       | 02/11/1997 | Slade et al.         | 361   | 727      | 12/22/1995                    |
|                      | 6,049,449       | 04/11/2000 | Cranston, III et al. | 361   | 683      | 10/29/1997                    |
|                      | 6,157,537       | 12/05/2000 | Cheng                | 361   | 699      | 09/23/1999                    |
|                      | 6,229,710 B1    | 05/08/2001 | Chen                 | 361   | 759      | 09/28/1999                    |

## U.S. PATENT APPLICATION PUBLICATIONS

| *EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----------------|------|------|-------|----------|-------------------------------|
|                      |                 |      |      |       |          |                               |
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## FOREIGN PATENT DOCUMENTS

|  | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|-----------------|------|---------|-------|----------|-------------|----|
|  |                 |      |         |       |          | YES         | NO |
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|  |                 |      |         |       |          |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

|  |                                   |                                      |
|--|-----------------------------------|--------------------------------------|
| <b>INFORMATION DISCLOSURE CITATION</b><br><i>(Use several sheets if necessary)</i> | ATTY DOCKET NO.<br><b>11072.8</b> | APPLICATION NO.<br><b>10/691,473</b> |
|  | Jason A. Sullivan                 |                                      |
|  | FILING<br><b>October 22, 2003</b> | GROUP ART<br><b>2835</b>             |

**U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE       | NAME            | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----------------|------------|-----------------|-------|----------|-------------------------------|
|                      | 6,288,893 B1    | 09/11/2001 | Faranda et al.  | 361   | 683      | 02/04/1999                    |
|                      | 6,304,459 B1    | 10/16/2001 | Toyosato et al. | 361   | 861      | 06/05/1998                    |
|                      | 6,307,742 B1    | 10/23/2001 | Diaz et al.     | 361   | 683      | 04/19/2000                    |
|                      | 6,327,152 B1    | 12/04/2001 | Saye            | 361   | 732      | 11/19/1999                    |
|                      | 6,377,446 B1    | 04/23/2002 | Liau            | 361   | 683      | 09/08/2000                    |

**U.S. PATENT APPLICATION PUBLICATIONS**

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|  |  |                 |      |         |       |          | YES         | NO |
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**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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